

# Limiting Curvature in the Very Early Universe

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*Physics of the Early Universe – An Online Precursor*  
International Centre for Theoretical Sciences (ICTS), Bengaluru, India  
September 1st, 2020

Based on

JQ & Yoshida, JCAP02(2020)016, arXiv:1911.06040

Sakakihara, Yoshida, Takahashi & JQ, arXiv:2005.10844

# How can we avoid a singularity?

- GR + effective matter satisfying the null energy condition (NEC)
  - ⇒ inevitable singularities [singularity theorems by Penrose and Hawking](#)
- Even inflationary cosmology (within GR) is inevitably past incomplete and often inextendible [Borde & Vilenkin \[gr-qc/9312022\]](#), [Borde et al. \[gr-qc/0110012\]](#), [Yoshida & JQ \[1803.07085\]](#), ...
- Singularity resolution, **before inflation or in alternatives**
  - need to violate the NEC, with e.g.:
    - ▶ quantum fields
    - ▶ modified gravity
    - ▶ full quantum gravity
- Why is this *not* too crazy? E.g.,
  - ▶ traversable wormholes [Maldacena et al. \[1807.04726\]](#), ...
  - ▶ ‘averaged’ energy conditions, e.g. [Freivogel & Krommydas \[1807.03808\]](#)

$$\langle T_{\mu\nu} k^\mu k^\nu \rangle_\tau \geq -\frac{\mathcal{O}(1)}{G_N \tau^2}$$

- ▶  $\alpha'$  corrections in string theory
- ▶ minimal fundamental length in quantum gravity [Hossenfelder \[1203.6191\]](#)
- ▶ etc.

# How hard can it be?

- It's kind of difficult...
- A popular avenue: consider a generic scalar-tensor theory, e.g., **Horndeski**, with many free functions → those admit non-singular cosmological background solutions
- However, perturbations are often plagued with **ghosts and gradient instabilities** → indications of a no-go theorem [Libanov et al. \[1605.05992\]](#), [Kobayashi \[1606.05831\]](#), [Creminelli et al. \[1610.04207\]](#), [Cai et al. \[1610.03400\]](#), ...
- Very few ways of evading the no-go theorem and often at some costs, e.g., **strong coupling issues** [Iijas & Steinhardt \[1606.08880,1609.01253\]](#), [Cai et al. \[1701.04330\]](#), [Cai & Piao \[1705.03401\]](#), [Kolevator et al. \[1705.06626\]](#), [Dobre et al. \[1712.10272\]](#), [Mironov et al. \[1807.08361,1905.06249,1910.07019\]](#), [Banerjee et al. \[1808.01170\]](#), [Ye & Piao \[1901.08283\]](#), ...

## Different approach to singularity resolution

- Impose constraint equations that ensure the boundedness of curvature  
⇒ **limiting curvature**

- Example of implementation Mukhanov & Brandenberger [92], Brandenberger et al. [gr-qc/9303001], ...

$$S = S_{\text{EH}} + \int d^4x \sqrt{-g} \left[ \sum_{i=1}^n \chi_i \mathcal{I}_i(\mathbf{Riem}, \mathbf{g}, \nabla) - V(\chi_1, \dots, \chi_n) \right]$$

$$\delta_{\chi_i} S = 0 \implies \mathcal{I}_i = \partial_{\chi_i} V$$

$$|\partial_{\chi_i} V| < \infty \quad \forall \chi_i \implies \text{bounded curvature } \mathcal{I}_i$$

- Concrete model (e.g.,  $n = 2$ )

$$\mathcal{I}_1 = \sqrt{12R_{\mu\nu}R^{\mu\nu} - 3R^2} \stackrel{\text{FLRW}}{\propto} \dot{H}, \quad \mathcal{I}_2 = R + \mathcal{I}_1 \stackrel{\text{FLRW}}{\propto} H^2$$

→ non-singular background cosmology, but severe instabilities

Yoshida, JQ, Yamaguchi, Brandenberger [1704.04184]

- Another implementation of limiting curvature: mimetic gravity

Chamseddine & Mukhanov [1308.5410,1612.05860], ...

$$S = S_{\text{EH}} + \int d^4x \sqrt{-g} [\lambda(\partial_\mu\phi\partial^\mu\phi + 1) + \chi\Box\phi - V(\chi)]$$

$$\delta_\lambda S = 0 \implies \partial_\mu\phi\partial^\mu\phi = -1$$

$$\delta_\chi S = 0 \implies \Box\phi = \partial_\chi V \longrightarrow \chi_{\text{sol}} = \chi(\Box\phi)$$

$$f(\Box\phi) = \chi_{\text{sol}}\Box\phi - V(\chi_{\text{sol}}) \longrightarrow \mathcal{L}_\phi = \lambda(\partial_\mu\phi\partial^\mu\phi + 1) + f(\Box\phi)$$

- E.g. in FLRW,  $\phi = t \implies \Box\phi = 3H$ , so bounding  $\partial_\chi V$  ensures  $H$  does not blow up
- Yet, mimetic gravity suffers from (gradient) instabilities [Ijas et al. \[1604.08586\]](#), [Firouzjahi et al. \[1703.02923\]](#), [Takahashi & Kobayashi \[1708.02951\]](#), [Langlois et al. \[1802.03394\]](#), ...
- Also, anisotropies can still blow up beyond the FLRW approximation [de Cesare et al. \[2002.11658\]](#)

## Cuscuton gravity

- Setup: GR + non-dynamical scalar field  $\phi$  on cosmological background
- Original implementation: start with  $k$ -essence theory

Afshordi et al. [hep-th/0609150], ...

$$S = S_{\text{EH}} + \int d^4x \sqrt{-g} P(X, \phi), \quad X \equiv -\frac{1}{2} \partial_\mu \phi \partial^\mu \phi$$
$$\delta_\phi S = 0 \xrightarrow{\text{FLRW}} (P_{,X} + 2XP_{,XX}) \ddot{\phi} + 3HP_{,X} \dot{\phi} + P_{,X\phi} \dot{\phi}^2 - P_{,\phi} = 0$$

- Requiring  $P_{,X} + 2XP_{,XX} = 0$  sets

$$P(X, \phi) = c_1(\phi) \sqrt{X} + c_2(\phi)$$

- Rescaling  $\phi$ , we can write

$$\mathcal{L}_{\text{cuscuton}} = \pm M_L^2 \sqrt{2X} - V(\phi), \quad \partial_\mu \phi \text{ timelike}$$

- EOM becomes a constraint equation:

$$\mp \text{sgn}(\dot{\phi}) 3M_L^2 H = \partial_\phi V$$

→ limiting extrinsic curvature

$$M_L^2 K = \partial_\phi V, \quad K = \nabla_\mu u^\mu, \quad u_\mu = \pm \frac{\partial_\mu \phi}{\sqrt{2X}}$$

→ non-singular bouncing models [Boruah et al. \[1802.06818\]](#)

- Cuscuton fluctuations do not propagate:

$$S_{\text{scalar}}^{(2)} = \int d^3x dt a^3 \left( \mathcal{G} \dot{\zeta}^2 - \frac{\mathcal{F}}{a^2} (\vec{\nabla} \zeta)^2 \right),$$

$$\mathcal{G} = \frac{X}{H^2} (P_{,X} + 2XP_{,XX}) = 0, \quad \mathcal{F} = -M_{\text{pl}}^2 \dot{H} / H^2$$

- Interesting properties:

- ▶ forms no caustics [de Rham & Motohashi \[1611.05038\]](#)
- ▶ geometrical interpretation [Chagoya & Tasinato \[1610.07980\]](#)
- ▶ new symmetries [Pajer & Stefanyshyn \[1812.05133\]](#), [Grall et al. \[1909.04622\]](#)

# New unifying approach: limiting extrinsic curvature

Sakahihara, Yoshida, Takahashi & JQ [2005.10844]

$$S = S_{\text{EH}} + \int_{\Sigma_t} d^3x \int dt N \sqrt{-\gamma} \left[ \sum_{i=1}^n \chi_i \mathcal{I}_i(\mathbf{K}, \boldsymbol{\gamma}, \mathbf{D}) - V(\chi_1, \dots, \chi_n) \right]$$

- Characterize the foliation  $\Sigma_t$  with unit normal vector  $n^\mu$  by a new field:

$$n_\mu = \begin{cases} -\nabla_\mu \phi & \text{with } \nabla_\mu \phi \nabla^\mu \phi = -1 \\ A_\mu & \text{with } A_\mu A^\mu = -1 \end{cases}$$

- One extrinsic curvature invariant,  $\mathcal{I}_1 = K = \nabla^\mu n_\mu = 3H$  (FLRW):

$$\mathcal{L} = \mathcal{L}_{\text{EH}} + \lambda(\nabla_\mu \phi \nabla^\mu \phi + 1) - \chi \nabla^\mu \nabla_\mu \phi - V(\chi) \longrightarrow \text{mimetic}$$

$$\mathcal{L} = \mathcal{L}_{\text{EH}} + \lambda(A_\mu A^\mu + 1) + \chi \nabla^\mu A_\mu - V(\chi) \longrightarrow \text{cuscuton}$$

- Mimetic: Legendre transformation  $f(\square\phi) = -\chi\square\phi - V(\chi)$
- Cuscuton: EOM  $A_\mu = \nabla_\mu \chi / 2\lambda \implies \lambda = \pm(-\nabla_\mu \chi \nabla^\mu \chi)^{1/2} / 2$  and  $A_\mu = \pm \nabla_\mu \chi / \sqrt{-\nabla_\nu \chi \nabla^\nu \chi}$ , so

$$\mathcal{L} = \mathcal{L}_{\text{EH}} \pm \sqrt{-\nabla_\mu \chi \nabla^\mu \chi} - V(\chi)$$

# Difference between mimetic gravity and the cuscuton

- Cuscuton  $A_\mu$  EOM:

$$2\lambda A_\mu - \nabla_\mu \chi = 0 \implies \lambda = -\frac{1}{2} A_\mu \nabla^\mu \chi$$

- Mimetic  $\phi$  EOM:

$$\nabla_\mu (2\lambda \nabla^\mu \phi + \nabla^\mu \chi) = 0 \implies \lambda = \frac{1}{2} \nabla_\mu \phi (\nabla^\mu \chi + U^\mu), \text{ with } \nabla_\mu U^\mu = 0$$

- In FLRW:  $\nabla_\mu U^\mu = \dot{U}^0 + 3HU^0 = 0 \implies U^0 \propto a^{-3}$   
and  $\rho_u \propto U^0 \propto a^{-3} \implies$  dust (mimetic dark matter)
- Cuscuton has one fewer d.o.f. than mimetic  $\implies$  often more stable

## Example: cusciton with matter

$$\mathcal{L} = \mathcal{L}_{\text{EH}} - M_L^2 \sqrt{-\partial_\mu \phi \partial^\mu \phi} - V(\phi) - \frac{1}{2} \partial_\mu \chi \partial^\mu \chi$$

$$\xrightarrow{\text{FLRW}} \left( 2M_{\text{pl}}^2 - \frac{3M_L^4}{V_{,\phi\phi}} \right) \dot{H} = -\dot{\chi}^2, \quad 0 < V_{,\phi\phi} < \frac{3}{2} \frac{M_L^4}{M_{\text{pl}}^2} \implies \dot{H} > 0$$

- No ghost and no gradient instability [Boruah et al. \[1802.06818\]](#), [JQ & Yoshida \[1911.06040\]](#)
- Interesting behaviour near  $H \approx 0$  (bounce):

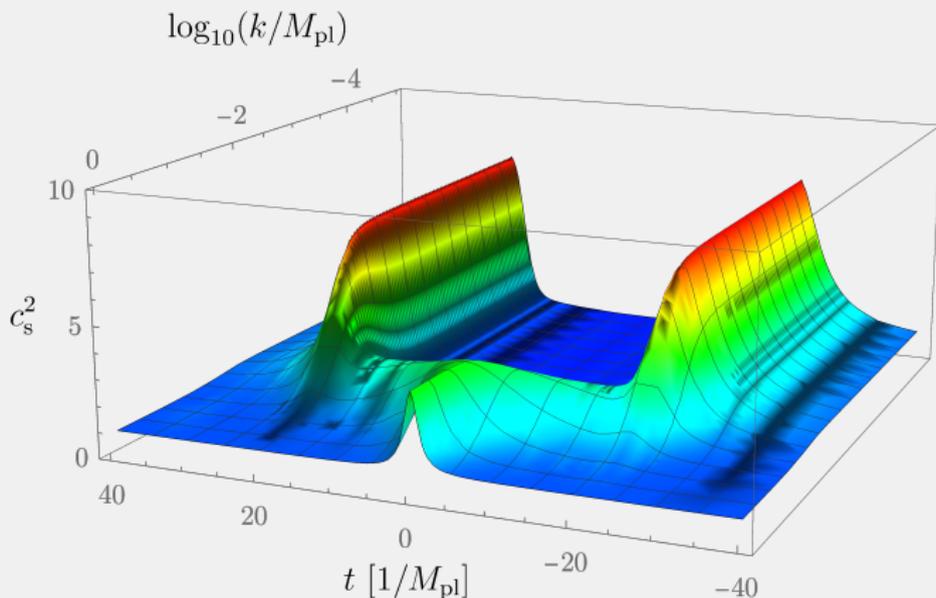
$$S_{\text{scalar}}^{(2)} \stackrel{k \rightarrow \infty}{\simeq} \frac{4}{M_L^2} \int d^3k dt \frac{ak^2}{|\dot{\phi}|} \left[ \dot{\zeta}_k^2 - \left( 1 + \frac{\dot{H}}{\dot{\chi}^2} \right) \frac{k^2}{a^2} \zeta_k^2 \right]$$

- Defining  $m^2 \equiv V_{,\phi\phi}|_{\text{bounce}}$ :

$$c_s^2 \stackrel{\frac{k}{a} \ll \mathcal{O}(\dot{\chi})}{\sim} -\frac{1}{3} + \frac{4m^2 M_{\text{pl}}^2}{3(3M_L^4 - 2m^2 M_{\text{pl}}^2)} \in (0, 1] \quad \text{if} \quad \frac{1}{2} < \frac{m^2 M_{\text{pl}}^2}{M_L^4} \leq 1$$

$$c_s^2 \stackrel{\frac{k}{a} \gg \mathcal{O}(\dot{\chi})}{\sim} 1 + \frac{4m^2 M_{\text{pl}}^2}{3M_L^4 - 2m^2 M_{\text{pl}}^2} \sim \mathcal{O}(1 - 10)$$

# Sound speed



- $c_s^2 > 1$ , but causality remains fine Bruneton [gr-qc/0607055], Babichev, Mukhanov, Vikman [0708.0561], de Rham & Tolley [2007.01847]
- Safe from strong coupling?

## Other application: bounded anisotropies

- Let's come back to

$$\mathcal{L} \supset \sum_{i=1}^n \chi_i \mathcal{I}_i(\mathbf{K}, \boldsymbol{\gamma}, \mathbf{D}) - V(\chi_1, \dots, \chi_n)$$

and consider  $\mathcal{I}_1 = K^2$  and  $\mathcal{I}_2 = K^\mu{}_\nu K^\nu{}_\mu - \frac{1}{3}K^2$

- With  $n_\mu = A_\mu$  (cuscuton-like)

$$\mathcal{L} \supset \chi_1 (\nabla^\mu A_\mu)^2 + \chi_2 \left( \nabla^\mu A_\nu \nabla^\nu A_\mu - \frac{1}{3} (\nabla^\mu A_\mu)^2 \right) - V(\chi_1, \chi_2)$$

- In a Bianchi I spacetime

$$ds^2 = -dt^2 + a^2 \left( e^{2\beta_+ + 2\sqrt{3}\beta_-} dx^2 + e^{2\beta_+ - 2\sqrt{3}\beta_-} dy^2 + e^{-4\beta_+} dz^2 \right)$$

we then have  $\mathcal{I}_1 = 9H^2 = \partial_{\chi_1} V$  and

$$\mathcal{I}_2 = 6\Sigma^2 \equiv 6 \left( \dot{\beta}_+^2 + \dot{\beta}_-^2 \right) = \partial_{\chi_2} V \longrightarrow \text{can bound anisotropies}$$

## Toy model

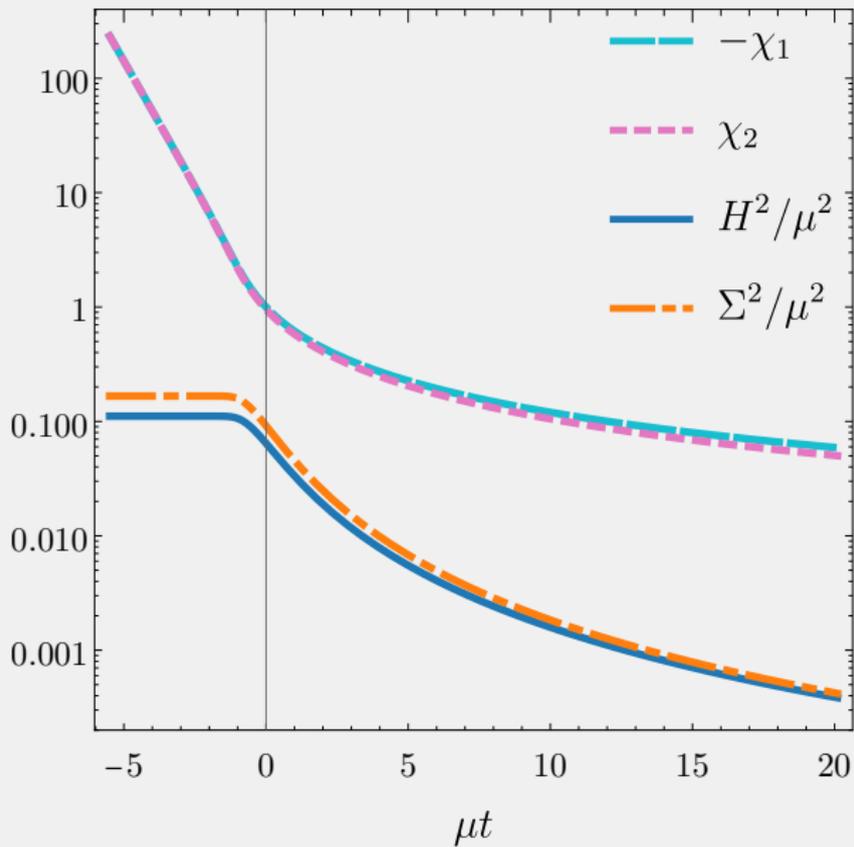
- Consider vacuum. Anisotropy EOM:

$$\frac{d}{dt} \left[ a^3 (1 + 2\chi_2) \dot{\beta}_{\pm} \right] = 0 \implies \rho_{\text{ani}} \propto \Sigma^2 = \frac{\Sigma_0^2}{(1 + 2\chi_2)^2 a^6}$$

- $\rho_{\text{ani}} \rightarrow \text{const.}$  as  $\chi_2 \sim a^{-3}$  at early times
- E.g.:  $V(\chi_1, \chi_2) = \mu^2(\chi_1 - \tanh \chi_1 + \chi_2 - \tanh \chi_2)$   
 $\implies H^2 \rightarrow \mu^2/9$  and  $\Sigma^2 \rightarrow \mu^2/6$  at early times, GR at late times

$$ds^2 \stackrel{t \rightarrow -\infty}{\simeq} -dt^2 + \sum_{i=1}^3 e^{2H_i t} (dx^i)^2$$

$$H_x = H_y = \left( \frac{1}{3} \pm \frac{1}{\sqrt{6}} \right) \mu, \quad H_z = \left( \frac{1}{3} \mp \frac{2}{\sqrt{6}} \right) \mu$$



## Perturbations

- Only 2 d.o.f. (like the polarization states of GWs in FLRW)
- Consider  $\beta_- = 0$  (so  $\beta_+ \equiv \beta$ ) and  $k_i dx^i = k_y dy + k_z dz$  by rotational symmetry
- Vector perturbations:

$$\delta g_{\mu\nu} = \begin{pmatrix} 0 & \delta E & 0 & 0 \\ * & 0 & -a^2 e^{2\beta} \partial_z h_{\times} & a^2 e^{-4\beta} \partial_y h_{\times} \\ 0 & * & 0 & 0 \\ 0 & * & 0 & 0 \end{pmatrix}, \quad \delta A_{\mu} = (0, \delta A_x, 0, 0)$$

$$\mathcal{L}_V^{(2)} = \frac{M_{\text{Pl}}^2}{2} k^2 a^3 e^{-4\beta} (1 + 2\chi_2) \left[ \dot{h}_{\times, -\mathbf{k}} \dot{h}_{\times, \mathbf{k}} - \left( \frac{k^2}{(1 + 2\chi_2)a^2} + 36 \frac{e^{2\beta} k_y^2 k_z^2}{k^4} \sigma^2 \right) h_{\times, -\mathbf{k}} h_{\times, \mathbf{k}} \right]$$

- No ghost and no gradient instability as long as

$$1 + 2\chi_2 > 0$$

✓  $\chi_2 \geq 0$  in the example earlier ( $\chi_2 \rightarrow 0$  in the late-time, GR limit)

- Scalar perturbations:

$$\delta g_{\mu\nu} = \begin{pmatrix} -2\Phi & 0 & a(\partial_y B + e^{2\beta} \partial_z S) & a(\partial_z B - e^{-4\beta} \partial_y S) \\ 0 & -a^2(\partial_y^2 + e^{6\beta} \partial_z^2)h_+ & 0 & 0 \\ * & 0 & a^2 e^{6\beta} \partial_z^2 h_+ & -a^2 \partial_y \partial_z h_+ \\ * & 0 & * & a^2 e^{-6\beta} \partial_y^2 h_+ \end{pmatrix}$$

$$\delta A_\mu = (\delta A_0, 0, \partial_y \delta A, \delta A_z), \quad \delta \lambda, \quad \delta \chi_1, \quad \delta \chi_2$$

$$\mathcal{L}_S^{(2)} = \frac{M_{\text{Pl}}^2}{2} a^3 k^4 (1 + 2\chi_2) \left[ \mathcal{G}(k_y, k_z) \dot{h}_{+, \mathbf{k}} \dot{h}_{+, -\mathbf{k}} - \frac{k^2}{(1 + 2\chi_2) a^2} h_{+, \mathbf{k}} h_{+, -\mathbf{k}} \right]$$

✓ Gradient instabilities are avoided when  $1 + 2\chi_2 > 0$

✗  $\mathcal{G}(k_y, k_z) > 0$  only if  $k_y/k_z \sim \mathcal{O}(0.1 - 10)$ ; ghost mode otherwise

## So what have we learned

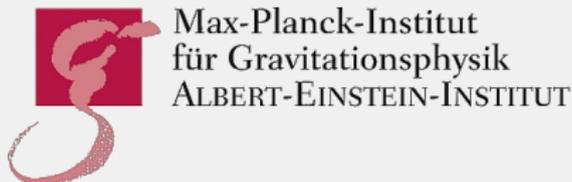
- Wide class of spatially-covariant theories (unifying framework for scalar-tensor theories of gravity) Gao [1406.0822], ...
- A subclass of those can be nicely written as **limiting extrinsic curvature theories**
- *Mimetic* gravity and the *Cuscuton* are such theories
- Those admit non-singular FLRW and even Bianchi I cosmologies

## So what have we learned

- Cuscuton  $\implies$  fully stable (classical, linear); evades the no-go!
- $c_s^2 \sim 1$ , but superluminality close to the bounce; is it a valid EFT?
- Generalizes to the extended cuscuton  $\longrightarrow$  stable bounce  
(additional slides)
- We are in order to understand the evolution of cosmological perturbations through a bounce  
 $\longrightarrow$  upper bound on the growth of IR perturbations  
(additional slides)
- Non-singular Bianchi I toy model in vacuum
- Not fully stable; can it be improved?

# Thank you for your attention!

I acknowledge support from the following agencies:



## **Additional slides**

## Extended cuscuton

- Rather than starting with  $P(X, \phi)$ , start with Horndeski or even beyond-Horndeski theory, and impose [Iyonaga et al. \[1809.10935\]](#)
  - 1 the background EOM to be at most a first-order constraint equation
  - 2 and the kinetic term of scalar perturbations to vanish

→ extended cuscuton  $\supset$  original cuscuton
- Alternatively, in the ADM formalism, one can construct a Hamiltonian, satisfying the appropriate conditions for the theory to propagate at most 2 gravitational d.o.f. and remaining invariant under 3-D diffeomorphisms (but possibly breaking time diffeomorphism invariance) [Mukohyama & Noui \[1905.02000\]](#)

→ minimally-modified gravity  $\supset$  extended cuscuton

- As an example, consider the following:

$$S = S_{\text{EH}} + \int d^4x \sqrt{-g} \left( -M_L^2 \sqrt{2X} - V(\phi) - \frac{1}{2} \partial_\mu \chi \partial^\mu \chi \right) \\ + \int d^4x \sqrt{-g} \lambda \left[ -\frac{3\lambda}{M_{\text{pl}}^2} (2X) + \ln \left( \frac{2X}{\Lambda^4} \right) \square \phi \right]$$

- FLRW (pick  $\dot{\phi} > 0$ ):

$$3M_{\text{pl}}^2 \Theta^2 = \frac{1}{2} \dot{\chi}^2 + V(\phi)$$

$$2M_{\text{pl}}^2 \dot{\Theta} = -\dot{\chi}^2 + (M_L^2 + 6\lambda\Theta) \dot{\phi}$$

$$3M_L^2 \Theta = V_{,\phi} - \frac{6\lambda}{M_{\text{pl}}^2} V(\phi)$$

where

$$\Theta \equiv H + \frac{\lambda}{M_{\text{pl}}^2} \dot{\phi}$$

# Cosmological perturbations

- With (spatially-flat gauge)

$$\zeta \equiv -\frac{\Theta}{\dot{\chi}} \delta\chi^S + \lambda \delta\phi^S$$

one finds

$$S_s^{(2)} = \frac{1}{2} \int d^3k dt a z^2 \left( \dot{\zeta}_k^2 - c_s^2 \frac{k^2}{a^2} \zeta_k^2 \right)$$

where

$$z^2 = \frac{a^2 \dot{\chi}^2}{\Theta^2 + \frac{M_L^4 \frac{\dot{\chi}^2}{2} (\frac{\dot{\chi}^2}{2} + \dot{\Theta})}{(M_L^2 + 6\lambda\Theta) \left( (M_L^2 + 8\lambda\Theta) k^2 / a^2 + 3M_L^2 \frac{\dot{\chi}^2}{2} \right)}} > 0 \quad \checkmark$$

$$c_s^2 = \frac{\tilde{A}_4(k/a)^4 + \tilde{A}_2(k/a)^2 + \tilde{A}_0}{\tilde{B}_4(k/a)^4 + \tilde{B}_2(k/a)^2 + \tilde{B}_0} = 1 + \mathcal{O}\left(\frac{a^2}{k^2}\right) > 0 \quad \checkmark$$

## Cuscuton gravity with matter

- Consider the addition of a massless scalar field

$$\mathcal{L} = \mathcal{L}_{\text{EH}} \pm M_L^2 \sqrt{2X} - V(\phi) - \frac{1}{2} \partial_\mu \chi \partial^\mu \chi$$

$$\stackrel{\text{FRW}}{\implies} 3M_{\text{pl}}^2 H^2 = \frac{1}{2} \dot{\chi}^2 + V(\phi), \quad 2M_{\text{pl}}^2 \dot{H} = -\dot{\chi}^2 \mp M_L^2 |\dot{\phi}|$$

- Choose ‘-’ sign in  $\mathcal{L}_{\text{cuscuton}}$
- NEC violation:

$$M_L^2 |\dot{\phi}| > \dot{\chi}^2 \implies 2M_{\text{pl}}^2 \dot{H} = -\dot{\chi}^2 + M_L^2 |\dot{\phi}| > 0$$

- Requirement for a bounce:

$$\begin{aligned} \text{sgn}(\dot{\phi}) 3M_L^2 H = V_{,\phi} &\implies 3M_L^2 \dot{H} = V_{,\phi\phi} |\dot{\phi}| \\ V_{,\phi\phi} > 0 &\implies \dot{H} > 0 \end{aligned}$$

## Cosmological perturbations

- Consider the comoving gauge w.r.t.  $\phi$ , so  $\delta\phi = 0$ , but  $\chi(t, \mathbf{x}) = \chi(t) + \delta\chi(t, \mathbf{x})$  and

$$ds^2 = -(1 + 2\Phi)dt^2 + 2a\partial_i B dx^i dt + a^2(1 - 2\Psi)\delta_{ij}dx^i dx^j$$

- Perturbed Hamiltonian and momentum constraints in Fourier space (setting  $M_{\text{pl}} = 1$ ):

$$\begin{aligned}(\dot{\chi}^2/2 - 3H^2)\Phi_k + H(k/a)^2 B_k + 3H\dot{\Psi}_k + (k/a)^2\Psi_k - \dot{\chi}\delta\dot{\chi}_k &= 0 \\ 2H\Phi_k - 2\dot{\Psi}_k - \dot{\chi}\delta\chi_k &= 0\end{aligned}$$

- $\longrightarrow$  need to divide by  $H$  (in particular when  $H = 0$ ) to eliminate  $\Phi_k$  and  $B_k$   
 $\longrightarrow$  potential divergences

- After simplification,

$$S_{\text{scalar}}^{(2)} = \int d^3k dt a z^2 \left( \dot{\zeta}_k^2 - c_s^2 \frac{k^2}{a^2} \zeta_k^2 \right), \quad \zeta_k = -\Psi_k - \frac{H}{\dot{\chi}} \delta\chi_k,$$

where

$$z^2 = a^2 \frac{\dot{\chi}^2 (k^2/a^2 + 3\dot{\chi}^2/2)}{(k/a)^2 H^2 + \dot{\chi}^2 (3H^2 + \underbrace{\dot{H} + \dot{\chi}^2/2}_{=M_L^2 |\dot{\phi}|/2})/2} > 0, \quad \checkmark$$

$$c_s^2 = \frac{H^4 k^4/a^4 + A_2 k^2/a^2 + A_0}{H^4 k^4/a^4 + B_2 k^2/a^2 + B_0} \xrightarrow{k \rightarrow \infty} 1 > 0, \quad \checkmark$$

with

$$A_2 \equiv \dot{\chi}^2/2 (12H^2 + 3\dot{H} + \dot{\chi}^2/2) + 2\dot{H}^2 - H\ddot{H}$$

$$A_0 \equiv (\dot{\chi}^2/2)^2 (15H^2 + \dot{H} - \dot{\chi}^2/2) - \dot{\chi}^2/2 (12H^2\dot{H} - 2\dot{H}^2 + 3H\ddot{H})$$

$$B_2 \equiv \dot{\chi}^2/2 (6H^2 + \dot{H} + \dot{\chi}^2/2), \quad B_0 \equiv 3 (\dot{\chi}^2/2)^2 (3H^2 + \dot{H} + \dot{\chi}^2/2)$$

- Note, however,

$$z^2 \xrightarrow{k \rightarrow \infty} a^2 \dot{\chi}^2/H^2 \xrightarrow{H \rightarrow 0} \infty$$

## Switch gauge

- Spatially flat ( $\Psi^S = 0$ ):

$$\begin{aligned}\Phi_k^S &= -\frac{d}{dt}(\zeta_k/H) + \mathcal{O}(H^0), \quad aB_k^S = \zeta_k/H + \mathcal{O}(H^0), \\ \delta\chi_k^S &= -\dot{\chi}\zeta_k/H + \mathcal{O}(H^0), \quad \delta\phi_k^S = -\dot{\phi}\zeta_k/H + \mathcal{O}(H^0) \\ &\implies \text{ill defined at } H = 0\end{aligned}$$

- Back to comoving gauge w.r.t.  $\phi$  ( $\delta\phi^\phi = 0$ ):

$$\begin{aligned}\Phi_k^\phi &= \Phi_k^S - \frac{d}{dt}(\delta\phi_k^S/\dot{\phi}) = -\frac{4}{1 + 3\dot{\chi}^2 a^2/2k^2} \zeta_k + \mathcal{O}(H) \\ aB_k^\phi &= aB_k^S + \delta\phi_k^S/\dot{\phi} = -\frac{3a^2\dot{\chi}^2}{M_L^2 k^2 \dot{\phi}} \dot{\zeta}_k + \mathcal{O}(H) \\ \Psi_k^\phi &= H\delta\phi_k^S/\dot{\phi} = -\zeta_k + \mathcal{O}(H) \\ \delta\chi_k^\phi &= \delta\chi_k^S - \dot{\chi}\delta\phi_k^S/\dot{\phi} = -\frac{2\dot{\chi}}{M_L^2 \dot{\phi}} \dot{\zeta}_k + \mathcal{O}(H)\end{aligned}$$

- $\implies$  divergences exactly cancel out to yield well-defined perturbations at  $H = 0$

$$\implies \text{valid perturbed action } \mathcal{L}_s^{(2)} = az^2(\dot{\zeta}_k^2 - c_s^2 k^2 \zeta_k^2/a^2)$$

- Comoving gauge w.r.t.  $\chi$  ( $\delta\chi^X = 0$ ):

$$\Phi_k^\chi = \Phi_k^S - \frac{d}{dt} \left( \frac{\delta\chi_k^S}{\dot{\chi}} \right) = -\cancel{\frac{d}{dt} \left( \frac{\zeta_k}{H} \right)} - \cancel{\frac{d}{dt} \left( -\frac{\zeta_k}{H} \right)} + \mathcal{O}(H^0)$$

$$aB_k^\chi = aB_k^S + \frac{\delta\chi_k^S}{\dot{\chi}} = \cancel{\frac{\zeta_k}{H}} + \left( -\cancel{\frac{\zeta_k}{H}} \right) + \mathcal{O}(H^0)$$

$$\Psi_k^\chi = H \frac{\delta\chi_k^S}{\dot{\chi}} = H \left( -\frac{\zeta_k}{H} \right) + \mathcal{O}(H^0)$$

$$\delta\phi_k^\chi = \delta\phi_k^S - \dot{\phi} \frac{\delta\chi_k^S}{\dot{\chi}} = -\cancel{\frac{\dot{\phi}}{H} \zeta_k} - \dot{\phi} \left( -\frac{\zeta_k}{H} \right) + \mathcal{O}(H^0)$$

$\longrightarrow$  all finite at  $H = 0$

- Newtonian gauge ( $B^N = 0$ ):

$$\Phi_k^N = \Phi_k^S + \frac{d}{dt}(aB_k^S) = -\frac{d}{dt}\left(\frac{\zeta_k}{H}\right) + \frac{d}{dt}\left(\frac{\zeta_k}{H}\right) + \mathcal{O}(H^0)$$

$$\Psi_k^N = -aHB_k^S = -H\frac{\zeta_k}{H} + \mathcal{O}(H^0)$$

$$\delta\phi_k^N = \delta\phi_k^S + a\dot{\phi}B_k^S = -\dot{\phi}\frac{\zeta_k}{H} + \dot{\phi}\frac{\zeta_k}{H} + \mathcal{O}(H^0)$$

$$\delta\chi_k^N = \delta\chi_k^S + a\dot{\chi}B_k^S = -\dot{\chi}\frac{\zeta_k}{H} + \dot{\chi}\frac{\zeta_k}{H} + \mathcal{O}(H^0)$$

→ all finite at  $H = 0$

## Evolution of $\zeta_k$ in the IR in a bounce phase

- The evolution of  $\zeta_k$  in the IR through a bounce phase links perturbations from a contracting phase (scale invariant?) to the CMB
- For  $k \rightarrow 0$ ,

$$\ddot{\zeta} + \left( \frac{\dot{a}}{a} + 2\frac{\dot{z}}{z} \right) \dot{\zeta} = 0 \implies \zeta = \text{const.} \quad \text{and} \quad \zeta(t) \propto \int^t \frac{dt}{az^2}$$

- Can  $\zeta$  undergo significant amplification? Generally not the case, but if so, possibly important non-Gaussianities generated [Battarra et al. \[1404.5067\]](#), [JQ et al. \[1508.04141\]](#)
- In general, if  $z \propto a$  (constant EoS), then  $\Delta\zeta < \dot{\zeta}_i (a_i/a_B)^3 \Delta t$
- Here,

$$z^2 \stackrel{k \rightarrow 0}{\simeq} \frac{3a^2 \dot{\chi}^2 / M_{\text{pl}}^2}{3H^2 + \dot{H} + \dot{\chi}^2 / 2M_{\text{pl}}^2} \approx a^2$$

- One finds  $\Delta\zeta < \dot{\zeta}_i (a_i/a_B)^3 \mathcal{E} \Delta t$  with

$$\mathcal{E} = \frac{1 + 3 \left( 1 - \frac{3}{2} \frac{M_L^4}{m^2 M_{\text{pl}}^2} \right) \left( \frac{a_i}{a_B} \right)^3 \left( \frac{H_i^2}{\dot{H}_B} + \frac{1}{3} \right)}{1 + 3 \left( 1 - \frac{3}{2} \frac{M_L^4}{m^2 M_{\text{pl}}^2} \right) \left( \frac{a_i}{a_B} \right)^6 \frac{H_i^2}{\dot{H}_B}}$$

- Recall

$$1 - \frac{3}{2} \frac{M_L^4}{m^2 M_{\text{pl}}^2} \sim \mathcal{O}(1), \quad \text{so } \mathcal{E} \gg 1 \text{ is impossible}$$

- $\longrightarrow$  large wavelength curvature perturbations passing through a bounce cannot receive more amplification than  $\mathcal{O}(\dot{\zeta}_i (a_i/a_B)^3 \Delta t)$